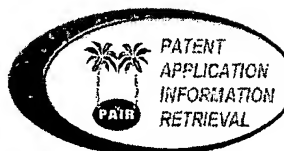




PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 9-28-2004::14:39:51 E.T.

Search results for application number: 10/073,434

Application Number:	10/073,434	Customer Number:	21363
Filing or 371(c) Date:	02-11-2002	Status:	Non Final Action Mailed
Application Type:	Utility	Status Date:	07-16-2004
Examiner Name:	KNOLL, CLIFFORD H	Location:	ELECTRONIC
Group Art Unit:	2112	Location Date:	-
Confirmation Number:	4793	Earliest Publication No:	-
Attorney Docket Number:	0325.00530	Earliest Publication Date:	-
Class/ Sub-Class:	710/305	Patent Number:	-
First Named Inventor:	Paul Novell, San Francisco, CA (US)	Issue Date of Patent:	-
Title Of Invention:	Method and apparatus for adding OTG dual role device capability to a USB peripheral		

Search Options

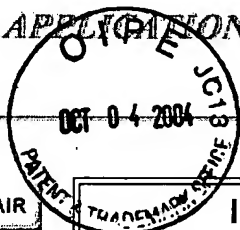
Assignments
Display References
Image File Wrapper
Publication Review

File History

Date	Contents Description
09-07-2004	Workflow - Request for RCE - Finish
09-07-2004	Workflow incoming amendment IFW
09-07-2004	Workflow - Request for RCE - Begin
07-16-2004	Mail Non-Final Rejection
07-12-2004	Non-Final Rejection
05-14-2004	Case Docketed to Examiner in GAU
12-16-2003	Case Docketed to Examiner in GAU
12-12-2002	Case Docketed to Examiner in GAU
03-26-2002	Information Disclosure Statement (IDS) Filed
05-06-2002	Case Docketed to Examiner in GAU
03-21-2002	Transfer Inquiry to GAU
03-08-2002	Application Dispatched from OIPE
03-05-2002	Application Is Now Complete
02-25-2002	IFW Scan & PACR Auto Security Review
02-11-2002	Initial Exam Team nn



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Image File Wrapper for Application No.:10/073,434

NEW

Mail Room Date	Document Description	Document Category	Page Count	All
09/15/2004	<u>Extension of time from Applicant - filed as separate letter</u>	PROSECUTION	1	
09/07/2004	<u>Request for Continued Examination (RCE)</u>	PROSECUTION	1	
09/07/2004	<u>Applicant Arguments or Remarks Made in an Amendment</u>	PROSECUTION	4	
09/07/2004	<u>Claim</u>	PROSECUTION	9	
09/07/2004	<u>Amendment</u>	PROSECUTION	1	
07/16/2004	<u>List of References cited by Applicant</u>	PROSECUTION	1	
07/16/2004	<u>Non-Final Rejection</u>	PROSECUTION	7	
07/16/2004	<u>List of references cited by Examiner</u>	PROSECUTION	1	
07/16/2004	<u>NPL Documents</u>	PROSECUTION	4	
03/26/2002	<u>NPL Documents</u>	PROSECUTION	651	
03/26/2002	<u>NPL Documents</u>	PROSECUTION	76	
03/26/2002	<u>NPL Documents</u>	PROSECUTION	2	
03/26/2002	<u>Information Disclosure Statement</u>	PROSECUTION	1	
03/26/2002	<u>NPL Documents</u>	PROSECUTION	4	
03/26/2002	<u>NPL Documents</u>	PROSECUTION	328	
03/21/2002	<u>Miscellaneous Internal Document</u>	PROSECUTION	1	
02/11/2002	<u>Fee Worksheet (PTO-875)</u>	PROSECUTION	1	
02/11/2002	<u>Fee Worksheet (PTO-875)</u>	PROSECUTION	1	
02/11/2002	<u>Claims Worksheet (PTO-2022)</u>	PROSECUTION	1	
02/11/2002	<u>Issue Information on File Wrapper</u>	PROSECUTION	1	
02/11/2002	<u>Search info on File Wrapper</u>	PROSECUTION	1	
02/11/2002	<u>Claims recorded on File Wrapper</u>	PROSECUTION	1	
02/11/2002	<u>Transmittal of New Application</u>	PROSECUTION	3	
02/11/2002	<u>Drawings</u>	PROSECUTION	6	
02/11/2002	<u>Specification</u>	PROSECUTION	19	
02/11/2002	<u>Claim</u>	PROSECUTION	5	
02/11/2002	<u>Abstract</u>	PROSECUTION	1	
02/11/2002	<u>Oath or Declaration filed</u>	PROSECUTION	2	
02/11/2002	<u>Bibliographic Data Sheet</u>	PROSECUTION	1	

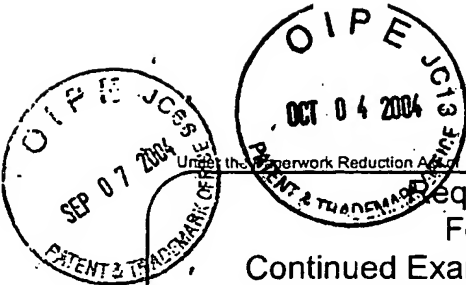


EXHIBIT A

3 of 5 PTO/SB/30 (08-03)

Approved for use through 07/31/2006-OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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H14Request
For
Continued Examination (RCE)
TransmittalAddress to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10/073,434
Filing Date	October 17, 2002
First Named Inventor	OSHLACK, et al.
Art Unit	1617
Examiner Name	Shenjun Wang
Attorney Docket Number	200.93323CO2

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other: Petition for 1-Month Extension and Return Receipt Post Card

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. 1.17(l) required)
- b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. 1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0552
- i. ☒ RCE fee required under 37 C.F.R. 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 880.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SEP - 9 2004

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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Morey B. Wildes	Registration No. (Attorney/Agent)	36,968
Signature	<i>Morey B. Wildes</i>	Date	September 3, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

Name (Print /Type)	Madeline Aleman	Date	September 3, 2004
Signature	<i>Madeline Aleman</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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770.00 DP



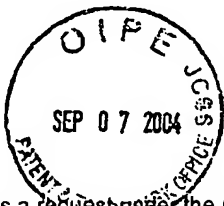
PTO/SB/22 (08-03)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Docket Number (Optional) 200.93323CO2
In re Application of OSHLACK, et al.		
Application Number 10/073,434	Filed October 17, 2002	
For CONTROLLED RELEASE FORMULATIONS COATED WITH AQUEOUS DISPERSIONS OF ACRYLIC POLYMERS		
Art Unit 1617	Examiner Shenjun Wang	



This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1)) \$110.00
☐ Two months (37 CFR 1.17(a)(2)) \$ _____
☐ Three months (37 CFR 1.17(a)(3)) \$ _____
☐ Four months (37 CFR 1.17(a)(4)) \$ _____
☐ Five months (37 CFR 1.17(a)(5)) \$ _____
- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ _____.
- ☒ A check in the amount of the fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director has already been authorized to charge fees in this application to a Deposit Account.
- ☐ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number _____.
- I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record. Registration Number 36,968

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a). _____

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

September 3, 2004

Date
(212 736-1940

Telephone Number

Signature
Morey B. Wildes

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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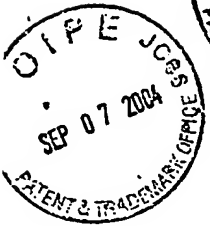
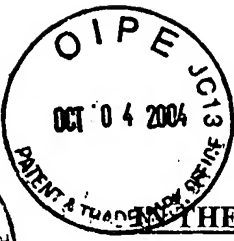
SEP -9 2004

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09/08/2004 HHEK000041 10073434

10.00 0P

02 FC:1251



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Benjamin OSHLACK, et al.
Serial No.: 10/273,434
Filed: October 17, 2002
For: **CONTROLLED RELEASE FORMULATIONS
COATED WITH AQUEOUS DISPERSIONS OF
ACRYLIC POLYMERS**
Examiner: Shenjun Wang
Art Unit: 1617
Confirmation No.: 8614

SEP -9 2004
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MAIL STOP: RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 3, 2004

AMENDMENT

S I R:

Concurrently with the filing of a Request for Continued Examination, and in response to the Office Action dated May 5, 2004 from the U.S. Patent and Trademark Office in connection with the above-identified application, a Petition for One-Month Extension of Time being requested concurrently herewith, Applicants hereby amend this application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 11 of this paper.